ICI Leadership

The Investment Company Institute Board of Governors (/about_ici/leadership/bog_list) sets ICI policies and oversees the Institute’s activities. ICI’s senior officers are listed below, with links to bios and downloadable photos of each.

- Yie-Hsin Hung, Chair of the Investment Company Institute
- George C. W. Gatch, Vice Chair of the Investment Company Institute
- Eric J. Pan, President and CEO
- Donald C. Auerbach, Chief Operating Officer
- Sean Collins, Chief Economist
- John G. Emling, Chief Government Affairs Officer
- Joanne Kane, Chief Industry Operations Officer
- Thomas T. Kim, Managing Director, Independent Directors Council
- Susan Olson, General Counsel
- Michael Pedroni, Chief Global Affairs Officer
- Erica Elliott Richardson, Chief Strategic Communications Officer
- Tracey Wingate, Chief of Staff to ICI President and CEO

Yie-Hsin Hung
Yie-Hsin Hung is the Chief Executive Officer of New York Life Investment Management (NYLIM), New York Life’s $450 billion global multi-boutique third-party asset management business, a role she has held since 2015. She is also a member of New York Life’s Executive Management Committee and its highest-ranking female operating executive. Since joining the company in 2010, Hung has grown NYLIM’s assets under management nearly four-fold through a combination of geographic expansion, organic initiatives, and acquisitions. Prior to that, she held a number of senior leadership roles at Bridgewater Associates and Morgan Stanley in both its Investment Management and Investment Banking divisions.

Hung was named one of the 100 Most Influential Women in US Finance by Barron’s in both 2020 and 2021 and was named among the 25 Most Powerful Women in Finance by American Banker in 2021, the fifth consecutive year she has received this award. She currently serves on the Board of Trustees of Northwestern University, the Executive Committee and Board of Governors of the Investment Company Institute, and the Board of Directors of the Turtle Beach Corporation.

Hung earned her MBA from Harvard University and BS in Mechanical Engineering from Northwestern University.

Downloadable photo of Yie-Hsin Hung: .zip

George C. W. Gatch

George C. W. Gatch is CEO of J.P. Morgan Asset Management’s global funds and global institutional businesses. He is also a member of the operating committee of JPMorgan Chase & Co.’s asset and wealth management line of business. Previously, as CEO of global funds management, Gatch led J.P. Morgan’s mutual fund complexes in Asia-Pacific, the Americas, and Europe. In 2005, he launched global funds’ US mutual fund platform, which he led for a decade. Earlier in his career, Gatch served as president and CEO of Japan’s DKB Morgan, and established J.P. Morgan Asset Management’s subadvisory and institutional mutual funds businesses. He joined J.P. Morgan in 1986. Gatch serves as vice chair of the Investment Company Institute. He is also on the Investment Committee and Board of Directors for the Cathedral School of St. John the Divine in New York, as well as serving as a board member of New York’s Mohonk Preserve. Gatch holds a bachelor’s degree in political science and economics from Washington University in St. Louis, Missouri.

Downloadable photo of George C. W. Gatch: .zip

Eric J. Pan
Eric J. Pan is the President and Chief Executive Officer of the Investment Company Institute. He took office as the 10th chief executive in ICI’s history on November 9, 2020.

Pan was previously a managing director of Rock Creek Global Advisors LLC, an international economic policy advisory firm in Washington, DC, and a senior research fellow at the Center for Law and Economic Studies at Columbia Law School in New York.

Pan served as the director of the Office of International Affairs at the US Commodity Futures Trading Commission from 2015 to 2019 and head of international regulatory policy at the US Securities and Exchange Commission from 2011 to 2015. He represented both market regulators in international standard-setting bodies, including the International Organization of Securities Commissions and Financial Stability Board, and in regulatory dialogues with European and Asian counterparts.

Before entering government service, Pan was the director of the Heyman Center on Corporate Governance and a professor at the Benjamin N. Cardozo School of Law in New York, where he wrote extensively about financial regulation and corporate law. He also practiced corporate and international law with Covington & Burling in Washington, DC.

Pan is a graduate of Harvard College, the University of Edinburgh, and the Harvard Law School. He is a member of the American Law Institute and an adjunct professor at Columbia Law School.


Donald C. Auerbach

Donald C. Auerbach is Chief Operating Officer of the Investment Company Institute. As COO, his duties include overseeing management of the Institute’s administrative functions and acting as liaison to the Board of Governors. He first joined ICI in 2004 in the government affairs department, and was appointed chief government affairs officer and co-head, government affairs, in March 2009. Before joining ICI, Auerbach worked for Rep. Carolyn B. Maloney (D-NY) on the staff of the House Financial Services Committee. He also served as a staff member at Federal Financial Analytics, a Washington consultancy. He holds a BA in history from the University of California at Los Angeles, a JD from the American University’s Washington College of Law, and an MBA from the University of Virginia’s Darden Graduate School of Business Administration.


Sean Collins
Sean Collins is Chief Economist at the Investment Company Institute. He oversees the Institute's statistical collections and its research on US and global funds, financial markets, the US retirement market, and investor demographics. His research and analysis focuses on the flows, assets, fees, and industrial organization of registered investment companies; financial market issues; the costs and benefits of laws and regulations governing funds; money market funds; and financial stability. He has held several roles at ICI, most recently senior director of industry and financial analysis. Before joining ICI in 2000, Collins was a staff economist at the Federal Reserve Board and an economist at the Reserve Bank of New Zealand, where he participated on the RBNZ's Monetary Policy Committee. He is a current member of the Group of Economic Advisers (GEA) to the European Securities and Markets Authority (ESMA). He has a PhD in economics from the University of California, Santa Barbara, and a BA in economics from Claremont McKenna College.

Downloadable photo of Sean Collins: [zip](https://www.ici.org/system/files/private/2021-04/sean_collins.zip)

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**John G. Emling**

John Emling is Chief Government Affairs Officer of the Investment Company Institute. He leads the Institute's outreach to Congress and other policymakers on financial services, tax, pension, and other issues affecting regulated funds and their more than 100 million investors. He brings nearly 30 years of government affairs experience to this position, having served in the White House, at the US Department of the Treasury, on Capitol Hill, and in the private sector. Most recently, he served as head of government affairs for Broadcom Inc., where he built their government affairs practice, expanded their legislative and regulatory advocacy, and acted as executive director of Broadcom PAC. From 2011 to 2018, he was managing director for federal government affairs at Citi where he significantly contributed to bipartisan legislative changes in the Dodd-Frank Wall Street Reform and Consumer Protection. Before Citi, he held leadership positions at the Glover Park Group and Retail Industry Leaders Association. Leading up to and during the 2008 global financial crisis, Emling was deputy assistant and a special assistant to President George W. Bush in the White House Office of Legislative Affairs. Emling also was appointed to the post of deputy assistant secretary for legislative affairs at the Treasury Department in the Bush administration, where he focused on various issues, including tax reform, budget, and pension matters. Earlier in his career, Emling worked on Capitol Hill as chief of staff to former Representative Eric Cantor, and he served at the Senate Republican Policy Committee. Emling graduated from Villanova University with a BA in political science and government.

Downloadable photo of John Emling: [zip](https://www.ici.org/system/files/2022-08/Emling_John.zip)

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**Joanne Kane**
Joanne Kane is the Chief Industry Operations Officer of the Investment Company Institute. Kane joined ICI in 2013 and is responsible for the full range of operational issues facing the Institute and its members, for facilitating operations-related initiatives with industry stakeholders, and collaborating with stakeholders to pursue and achieve related regulatory, legislative, and public policy goals. Kane has more than 30 years of experience in the financial services industry, including extensive knowledge of transfer agency and compliance. Prior to joining ICI, Kane served as vice president of transfer agent governance and administration for Columbia Management Investors Services, Corp. Earlier in her career, she served as assistant vice president of transfer agent Compliance with IXIS Asset Management Services. Kane graduated *magna cum laude* from Providence College with a BS in finance.

Downloadable photo of Joanne Kane: [https://www.ici.org/system/files/private/2021-04/marty_burns.zip](https://www.ici.org/system/files/private/2021-04/marty_burns.zip)

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**Thomas T. Kim**

Thomas T. Kim is Managing Director of the Independent Directors Council ([https://www.idc.org/idc](https://www.idc.org/idc)). In leading IDC, Kim advances the education, governance, communication, and public policy priorities of independent directors on the boards of regulated investment companies. Before joining IDC, he served as senior vice president at the Mortgage Bankers Association, where he led strategy, public policy, governance, and member engagement across the organization’s commercial real estate finance membership. Previously, he managed regulatory and policy matters affecting capital markets and mortgage investments as associate general counsel at Freddie Mac. Kim is a veteran of ICI, having served as associate counsel at the Institute earlier in his career. He received his BA, *summa cum laude*, from the University of California, Irvine; JD from the UCLA School of Law, where he served as a law review editor; and LLM, with distinction, from the Georgetown University Law Center.


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**Susan Olson**
Susan Olson is General Counsel of the Investment Company Institute, serving as the Institute's chief legal officer, with responsibility for the full range of legal and regulatory matters affecting the Institute and its members. She joined ICI as senior counsel, international affairs, in 2007, and served as chief counsel of ICI Global since 2014. Before joining ICI, Olson served in the Division of Investment Management at the Securities and Exchange Commission (SEC). At the SEC, Olson worked in the international branch of the division’s Office of the Chief Counsel, where she resolved legal issues arising under the Investment Company Act and the Investment Advisers Act, and provided guidance for trade negotiations. She also participated as an SEC representative on the International Organization of Securities Commission (IOSCO) Standing Committee 5, focusing on the regulation of mutual funds and other collective investment vehicles. Before joining the Commission, Olson worked in private practice. She received her undergraduate degree from Wellesley College and her law degree from the University of Virginia.

Michael Pedroni is the Investment Company Institute’s Chief Global Affairs Officer and leads ICI Global. Michael is responsible for ICI’s international regulatory and policy work to support regulated investment funds and their investors around the world.

Michael has extensive public and private sector experience in international engagement and financial policy, having served at the U.S. Treasury, White House, Federal Reserve and International Monetary Fund. Most recently, he was executive vice president and managing director for global markets and research at the Managed Funds Association, where he led international activities and raised the association’s profile in Europe, while also directing the development of global research. Prior to that, as a member of the Treasury Department’s Senior Executive Service, he served under four U.S. Treasury Secretaries, beginning in 2008 as the first Director of the re-established Markets Room as the financial crisis was unfolding. Michael was subsequently appointed as the U.S. financial attaché to the European Union and head of Treasury’s office in Europe for three years beginning in 2013. In 2016, he began a White House detail, acting as senior director for global economics and finance under the Obama Administration, and rejoined Treasury in late 2016 as senior executive director for international economic analysis.

Pedroni started his career at the Federal Reserve Bank of New York, and later worked as an economist at the International Monetary Fund and at ICAP, an inter-dealer-broker.

Michael is a graduate of Yale University and received a master’s degree in International Affairs from Columbia University. In addition, he was a Fulbright Scholar in Germany and is conversational in German, Italian, and basic French. Michael also is an adjunct professor in the department of economics at George Washington University, where he teaches a course on managing international economic crises.

Downloadable photo of Michael Pedroni: [zip](https://www.ici.org/system/files/2022-02/pedroni_michael.zip)

**Erica Elliott Richardson**
Erica Elliott Richardson is Chief Strategic Communications Officer of the Investment Company Institute. Before joining ICI, Richardson served as a managing director at FTI Consulting, where she led the financial services public affairs practice. Previously, Richardson served as the director of the Office of Public Affairs at the Commodity Futures Trading Commission (CFTC), where she was responsible for strategic communications and brand management. Richardson began her career in Washington on Capitol Hill as a communications director with the House Financial Services Committee during the 2007–2009 financial crisis and Dodd-Frank legislative process, prior to serving as the top spokesperson for the Office of the Majority Whip in the House of Representatives. Richardson holds bachelor’s and master’s degrees from Auburn University and a doctorate in law and policy from Northeastern University; she wrote her doctoral thesis on the potential legislative and regulatory frameworks for virtual currency in the United States.

Downloadable photo of Erica Elliott Richardson: [ZIP](https://www.ici.org/system/files/2021-08/richardson_erica.zip)

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Tracey Wingate

Tracey Wingate is Chief of Staff to ICI President and CEO Eric J. Pan. Before joining ICI, Wingate served as associate director of the Office of International Affairs (OIA) at the US Commodity Futures Trading Commission (CFTC), leading the CFTC’s international engagements and providing strategic advice and counsel to the CFTC chair and commissioners on an array of complex domestic and international policy initiatives. Before joining OIA, Wingate served as special counsel in the Division of Clearing and Risk and as a senior trial attorney in the Division of Enforcement. She began her legal career at Arnold & Porter LLC in Washington, DC. Wingate is a graduate of the University of Virginia and Tulane University School of Law.